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Attorneys for Defendant,

A. G. Edwards & Sons, Inc.

Wachovia Securities, LLC., and

Wachovia Corporation

**IN THE UNITED STATES DISTRICT COURT FOR  
THE SOUTHERN DISTRICT OF NEW YORK**

Melissa D. Forbes,

Plaintiff,

**JUDGE: Arthur J. Tarnow**

v.

Civil Action No. 1:08-CV-00552-TPG

A.G. Edwards & Sons, Inc.,

Wachovia Securities, LLC,

Wachovia Corporation, and

Douglas L. Pearl,

Defendants.

**FRCP RULE 7.1 STATEMENT OF  
WACHOVIA SECURITIES, LLC.**

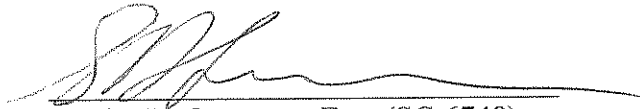
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Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned attorneys of record for defendant Wachovia Securities, LLC. certify that: Wachovia Securities, LLC is a wholly owned subsidiary of Wachovia Securities Financial Holdings, LLC. Wachovia Securities Financial Holdings, LLC is a joint venture owned indirectly by two public companies, Wachovia Corporation and Prudential Financial, Inc., each of which has an ownership interest of more than

10% in Wachovia Securities Financial Holdings, LLC.

Respectfully submitted,

Dated: March 10, 2008

A handwritten signature in black ink, appearing to read 'S. Grannum', with a long horizontal flourish extending to the right.

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